



CEF Transport Info day 2016 Italy

Lessons learned

Rome- 08 November 2016

Gaspare L'Episcopia- Innovation and Networks Executive Agency (INEA)

- **From the application to the Grant Agreement**
- **Action Status Report (ASR)**
- **Requests for further pre-financings**
- **Requests for interim payments and payment of the balance**

FROM THE APPLICATION TO THE GRANT AGREEMENT

■ *Scope and objective of the Action*

- Brief description of the **Global Project** to put the Action into a context
- Reference to the **Core Network section, Core Network Corridor, pre-identified section** (if applicable)
- **Current** situation and needs
- Action's **overall objective**
- Description of each of the specific objectives and how they will be met through which activities, including facts and statistics.

FROM THE APPLICATION TO THE GRANT AGREEMENT

■ *Activities*

- Description of the activity and explanation how it contributes/relates to the **Action's overall and specific objectives**
- **Technical** and **quantitative** elements when relevant (dimension, capacity, power)
- Description of the **tasks** for the implementation of the activity, creating sub-activities (or tasks) where necessary
- Description and quantification of the expected results/outputs and deliverables
- Dates of Activities should be **coherent** with the duration of the Action

FROM THE APPLICATION TO THE GRANT AGREEMENT

■ *Milestones*

- **Clear and logical 'check points'**
- In **all phases** of the Action allowing to measure intermediate progress of an activity, in particular if the activity spans **over a long time** or involves **high budget**
- **'Means of verification'** should be **objective** and **tangible**
- Dates of milestones' completion should be **coherent** with the duration of the corresponding activities

FROM THE APPLICATION TO THE GRANT AGREEMENT

■ *Costs*

- **Incurred**: Art. II.19.1 *"Costs of contracts for goods, works or services or of subcontracts are considered to be incurred when the contract or subcontract (or a part of it) is executed, i.e. when the goods, works or services (including studies) are supplied, delivered or provided"*
- In the **period** set out in Article 2.2, with the exception of costs relating to the **request for payment of the balance** and the corresponding supporting documents referred to in Article II.23.2.
- **Coherent** with the duration of the corresponding activities
- Costs for **project management** should be proportionate to the nature of the Action

ASR

- By the **31 March** if the Action
 - has started before 1st July of the previous year
 - Will not be completed by the 31 March of the ongoing year
- Via **TENtec**
- Contact Person
- **Must** report on the **actual/real state of play** and include sufficient detail
- **Qualitative and quantitative** description of the tasks carried out and foreseen at Action and activities level
- Explain **deviations** from the GA and previous ASR
- **Costs incurred** (**basis** for further pre-financing and Interim Payments)



Requests for further pre-financing

- Along with the **ASR** submission
- Via **TENtec**
- **Automatically calculated** (with some exceptions)
- Based on ASR **data**

Requests for Interim Payments

- **At the least** each two Reporting Periods
- Submitted via **e-mail (Art.6.2)**
- Within **8 months** following the end of the reporting period
- **Interim financial statement**
- **Certificate on the financial statements (CFS)** if
 - Max EU support > **€750,000**
 - Sum of the requested payments > **€325,000**
- By an approved **auditor** or, in case of public bodies, by a **competent and independent public officer**
- Beneficiary **certification**

Requests for Payment of the Balance

- Within **12 months** following the action completion date (Art. 2.2)
- Submitted via **e-mail (Art.6.2)**
- **Final report**
- **Final financial statement**
- **Summary financial statement**
- **Certificate on the financial statements (CFS) if**
 - Max EU support > €750,000
 - Sum of the requested payments > €325,000
- by an approved **auditor** or, in case of public bodies, by a **competent and independent public officer**
- **Beneficiary** and **Member State** certification



Useful links

<https://ec.europa.eu/inea/en/connecting-europe-facility/cef-transport/>

<http://ec.europa.eu/inea/en/connecting-europe-facility/useful-documents-and-forms>

<https://ec.europa.eu/inea/en/connecting-europe-facility/grant-agreement-preparation>



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Thank you!