



# CEF Transport Info Day Call 2019

## ITALY

**Rome, 25 November 2019**

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# Content

- 1. Preparing a successful application*
- 2. CBA*
- 3. The evaluation process*



# Preparing a successful application

*MAP 2019 CEF Transport Call*

# The (Our) Objective

- **Make sure YOU have all the necessary information & guidance to prepare your proposal**

» **SO THAT**

- **We will receive high quality proposals which will all pass the evaluation (& hopefully all also receive EU funding)**



# READ

## Read through **ALL** of the call documents on the call webpage

### 2019 CEF Transport MAP call

The 2019 CEF Transport MAP calls for proposals was published on 16 October 2019 with a **total budget of €1.4 billion**.

The call is split into three call texts, depending on the budget (General or Cohesion) allocated for the priorities addressed by the multi-annual work programme (MAP) and the funding form.

This page contains all the necessary information and documentation for applicants.

#### Information

Call flyer

Virtual information day on the call

#### Indicative call timeline

#### Date

Call publication	16 October 2019
Deadline for submission	<b>26 February 2020</b> (17:00:00 Brussels time)
Evaluation of proposals	February - June 2020 (indicative)
Consultation of the CEF Coordination Committee / Information to the European Parliament	July 2020 (indicative)
Adoption of the Selection Decision	July 2020 (indicative)
Preparation and signature of individual grant agreements	As of July 2020 (indicative)

#### Work programme

#### Last update

<b>2019 CEF Transport Multi-Annual Work Programme</b> - English version	16 October 2019
<b>2019 CEF Transport Multi-Annual Work Programme</b> - French version	16 October 2019
<b>2019 CEF Transport Multi-Annual Work Programme</b> - German version	16 October 2019
<b>2019 CEF Transport Multi-Annual Work Programme - Annex I</b> - English version only	16 October 2019

#### Priorities (relevant sections of the work Programme)

#### Indicative available funding

#### Last update



# THINK

- Will my proposal fit the objectives of the call?
- Who will be the coordinating applicant?
- Is my Action mature enough?
- Am I missing necessary information/authorisations?
- Financial?
- Milestones?
- ...



# START EARLY

- Take your time
- Regularly check and read again the proposal
- Proofread
- Save it often in TENtec
- Get the Member State(s) support
- Do not wait until the last minute



# APPLICATION

- 4 parts: A, B, C, D
- Part A (online)
  - **Administrative info**
  - **Description of Action**
  - **Signatures**
- Part B (upload)
  - **Administrative info**
  - **Financial/operational capacity**
  - **Signatures**
- Part C (upload)
  - **Compliance with EU law**



# APPLICATION

- Part D (upload)
  - **Technical/financial info**
  - **Order = award criteria**
- Supporting documents
  - **CBA (when applicable)**

**Follow the Guides for Applicants**

**Use the checklists**



# ASK & CHECK

- **We are here to help you!**
- Helpdesk [INEA-CEF-Transport-calls@ec.europa.eu](mailto:INEA-CEF-Transport-calls@ec.europa.eu)
- Ask questions today
- FAQs & notification service
- Keep monitoring for news & updates
- Twitter/LinkedIn



# SUBMIT

- **26 February 2020 at 17:00:00 (Brussels time)**
- Forms uploaded
- Supporting docs
- Proposal complete & signed
- Use checklist
- **SUBMIT** (with time to spare)

& good luck





# Cost Benefit Analysis

*MAP 2019 CEF Transport Call*

# CBA: What does it mean in practice?

A CBA is required for **Works and Mixed proposals** (not for Studies).

Specific CBA assessment by **dedicated external CBA experts** separately from the rest of the external evaluation.

It is recommended to follow the European Commission methodology developed for the Cohesion Policy (DG REGIO) from 2014.

# Impact

The impact of the proposed action is to be assessed on the basis of the socio-economic CBA, for all works and mixed (studies and works) Actions, but also on the basis of other information in the application.

The impact is composed of two elements:

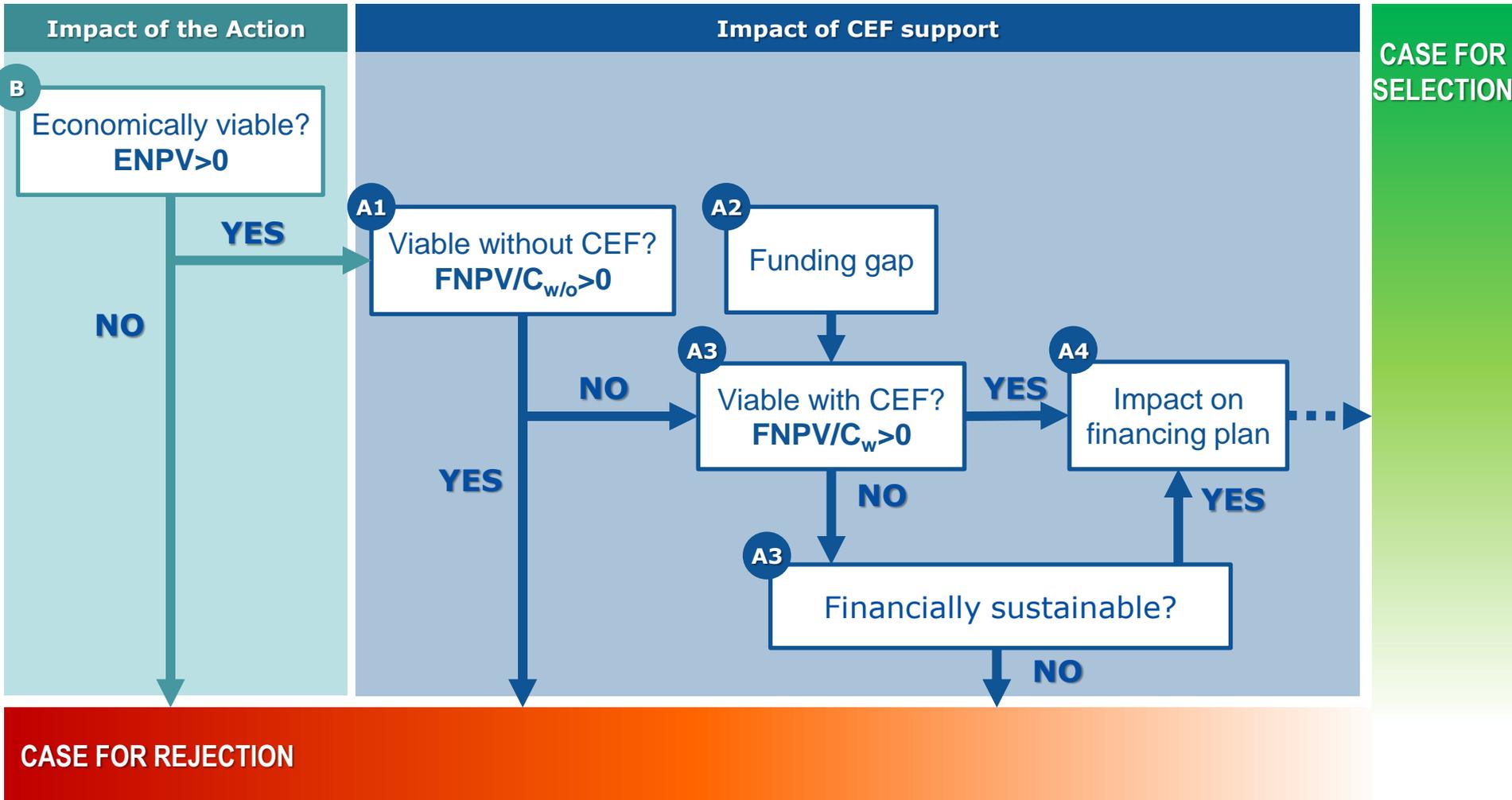
## **The impact of the proposed Action**

- Socio-economic effects (local, regional and national level)
- Climate and environmental aspects
- Improvement of accessibility

## **The impact of CEF grant**

- The need of the grant to overcome financial obstacles
- Impact on the financing plan (mobilisation of additional private and public funding)

# CBA indicators and Impact criterion



# CBA checklist

*A checklist is provided to applicants to help addressing the CBA provisions*

2019 CEF Transport call for proposals

## ✓ Cost-Benefit Analysis checklist

This checklist will help you to fully address the qualitative and quantitative requirements of the 2019 CEF Transport call related to the Cost-Benefit Analysis (CBA). By reminding you of some of the key points assessed during the evaluation process and the necessary elements to be included in the CBA, the checklist aims to help you increase your chances of being granted CEF Transport funding

For questions about the 2019 CEF Transport call for proposals, it is recommended to periodically consult the FAQ page on the INEA website or contact us: INEA-CEF-transport-calls@ec.europa.eu. Questions will be answered via FAQ.

### Cost-Benefit Analysis

#### Did you...?

- |   |  |
|---|--|
| 1. Make sure that the CBA was carried out for a scope that meets the definition of Single Unit of Assessment of the DG REGIO methodology (page 32), and that such unit is clearly defined in your CBA   | Y N<br><input type="checkbox"/> <input type="checkbox"/> |
| 2. Make sure that you have listed and detailed all deviations from the scope of the CBA in comparison to the Action   | Y N<br><input type="checkbox"/> <input type="checkbox"/> |
| 3. Clearly distinguishing cash flows related to the factual scenario from cash flows relating to the counterfactual scenario  | Y N<br><input type="checkbox"/> <input type="checkbox"/> |
| 4. Justify the reference period if you have retained a number of years different than the recommended one   | Y N<br><input type="checkbox"/> <input type="checkbox"/> |
| 5. Justify the discount rate in accordance with the Guide for Applicants if you have retained a value higher than the recommended ones (for the financial analysis 4% and for the economic analysis 5% for Cohesion Member States and 3% for other Member States) | Y N<br><input type="checkbox"/> <input type="checkbox"/> |
| 6. Make sure that the CBA Financial Analysis uses a consolidated approach in  | Y N<br><input type="checkbox"/> <input type="checkbox"/> |

#### accordance with section of 6.1.1 of the guide for applicants

- |  |  |
|--|--|
| 7. Include detailed information about the demand analysis  | Y N<br><input type="checkbox"/> <input type="checkbox"/> |
| 8. Include step by step description of revenues generation from demand to cash collection  | Y N<br><input type="checkbox"/> <input type="checkbox"/> |
| 9. Properly estimated the Residual Value and justify if this is not the net present value of cash flows in the remaining life years of the operation | Y N<br><input type="checkbox"/> <input type="checkbox"/> |
| 10. Check if you project generates net revenues  | Y N<br><input type="checkbox"/> <input type="checkbox"/> |
| 11. Calculate and apply the funding gap (in case your project generates net revenues)  | Y N<br><input type="checkbox"/> <input type="checkbox"/> |
| 12. Define Conversion Factors and Willingness to Pay to transition the financial values in the economic analysis                                     | Y N<br><input type="checkbox"/> <input type="checkbox"/> |
| 13. Define externalities to be added in the economic analysis, if relevant taking into account the "Handbook on External Costs of Transport"         | Y N<br><input type="checkbox"/> <input type="checkbox"/> |
| 14. Use the required excel template "CBA cash flow template" and upload it as an additional supporting document for the application                  | Y N<br><input type="checkbox"/> <input type="checkbox"/> |
| 15. Replied to questions 5.4, 5.7, 5.8 and 5.9 in application form part D so as to provide a summary of the main elements of the CBA                 | Y N<br><input type="checkbox"/> <input type="checkbox"/> |

# CBA cash flow template

*A spreadsheet template to present the CBA results in addition to the CBA report*



**2017 CEF BLENDING CALL - 2nd Cut Off**  
Economic Analysis  
Proposal code: 2017-XX-TM-0000-W



NPV @ 0.0%	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027
Project investment cost	-	-	-	-	-	-	-	-	-	-
Replacement cost	-	-	-	-	-	-	-	-	-	-
Project O&M costs	-	-	-	-	-	-	-	-	-	-
Residual value of investment	-	-	-	-	-	-	-	-	-	-
<b>Total economic costs</b>	-	-	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-	-	-
<b>Total economic benefits</b>	-	-	-	-	-	-	-	-	-	-
<b>ENPV / Net benefits</b>	-	-	-	-	-	-	-	-	-	-
<b>ERR</b>	#NUM!	-	-	-	-	-	-	-	-	-
<b>B/C RATIO</b>	#DIV/0!	-	-	-	-	-	-	-	-	-

**Summary of sensitivity tests**

	ENPV	ERR
Project investment cost +25%	-	#NUM!
Operation cost +25%	-	#NUM!
Delay in implementation 6 months	-	#NUM!
	-	#NUM!



**2017 CEF BLENDING CALL - 2nd Cut Off**  
Funding Gap  
Proposal code: 2017-XX-TM-0000-W  
Not Applicable



Return on investment	NPV @ 0%
Discounted revenues	-
Discounted costs	-
Discounted residual value	-
Discounted net revenues	-
Discounted investment cost	-
<b>Funding Gap</b>	-
<b>Funding Gap Rate</b>	-

Funding Gap Rate:

Max Co-funding Rate:

Modulated Co-funding Rate:



**2017 CEF BLENDING CALL - 2nd Cut Off**  
Financial Analysis  
Proposal code: 2017-XX-TM-0000-W



Return on investment before CEF	NPV @ 0.0%	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027
Project investment cost	-	-	-	-	-	-	-	-	-	-	-
Replacement cost	-	-	-	-	-	-	-	-	-	-	-
Project O&M costs	-	-	-	-	-	-	-	-	-	-	-
Total revenues	-	-	-	-	-	-	-	-	-	-	-
Residual value of investment	-	-	-	-	-	-	-	-	-	-	-
<b>FNPV(C)</b>	-	-	-	-	-	-	-	-	-	-	-
<b>FRR(C)</b>	#NUM!	-	-	-	-	-	-	-	-	-	-

# When is a CBA required?

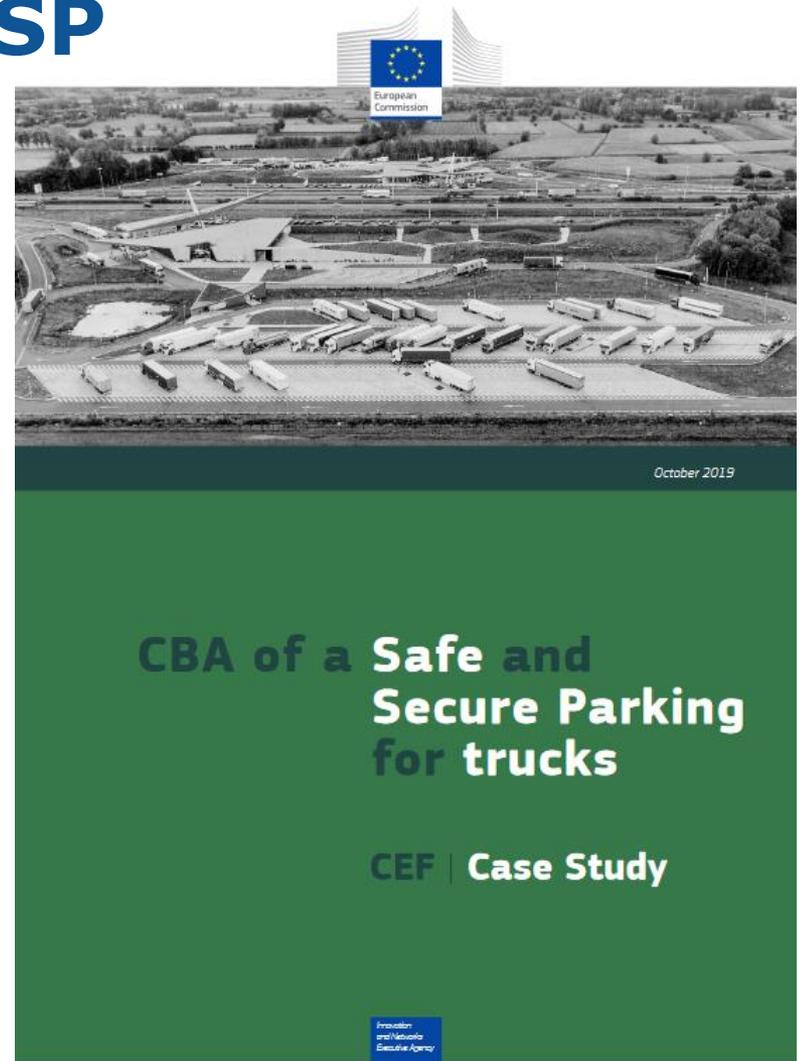
For 'works' proposals

Priority	Required
Proposals under Single European Sky – SESAR	Specific CBA for specific Actions (PCP CBA not acceptable)
European Rail Traffic Management Systems (ERTMS)	Not required (lump sum)
Safe and Secure infrastructure	CBA unless Action addresses EU standard (then CEA)
Intelligent Transport Services for road (ITS)	CEA is sufficient
Other priorities of the Calls (e.g. MoS, Urban nodes)	Always

# CBA Case Study for SSP

*A sectorial case study is available on the Call page to support applicants applying the general methodology to the safe and secure parking priority*

[https://ec.europa.eu/inea/sites/inea/files/cef\\_case\\_study\\_-\\_safe\\_and\\_secure\\_parking.pdf](https://ec.europa.eu/inea/sites/inea/files/cef_case_study_-_safe_and_secure_parking.pdf)





# The evaluation process

*MAP 2019 CEF Transport Call*

# Content

- 1. Overview of the evaluation and selection process***
- 2. Preliminary checks***
- 3. External evaluation***
- 4. Final selection process***

# 1. Overview of the evaluation and selection process.

Principles:

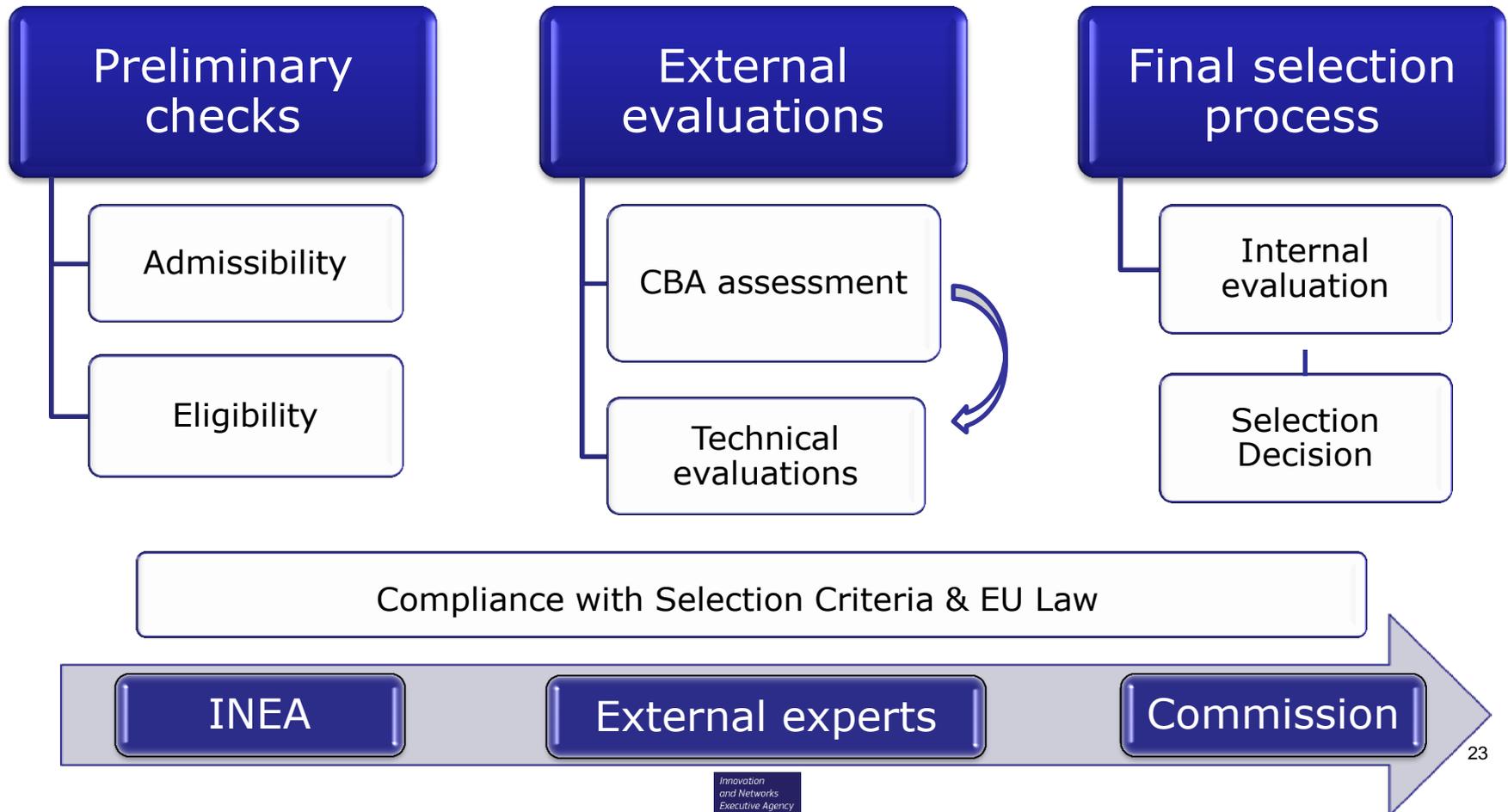
## Equal treatment

- All proposals evaluated against the same criteria
- No preferential treatment to any applicant

## Transparency

- Adequate feedback to applicants on the outcomes of the evaluation

# Evaluation and selection steps



## 2. Preliminary checks

### Admissibility check

- Has the proposal been submitted electronically in TENtec?
- Has the proposal been submitted on time?
- Is the proposal complete?
- Has the proposal been signed by the applicant(s)?

## Eligibility check

### Eligibility of applicants

Member State(s)

Other applicant(s) with the agreement of concerned MS

Third countries and third country entities under specific conditions

### Eligibility of actions

Projects of Common Interest (Article 7 TEN-T Guidelines)

Call Specific Criteria

## Selection Criteria check & Compliance with EU Law

### Selection Criteria

Financial and operational  
capacity of applicants to  
carry out the Action

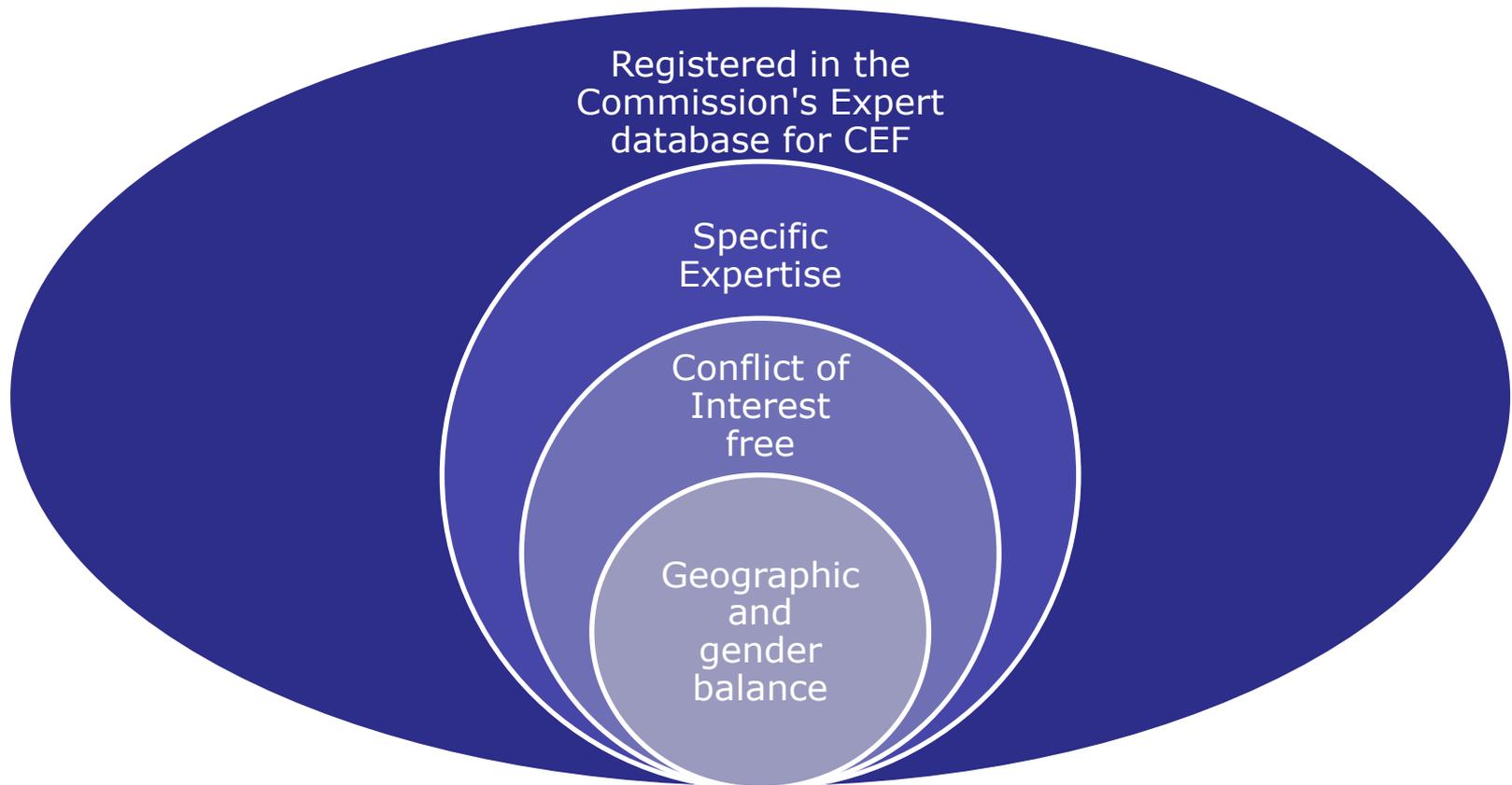
### Compliance with EU Law

- Environment
- State aids
- Public procurement
- Interoperability
- Road charging
- Road safety
- Tunnel safety

## 3. External evaluation

- Performed by independent experts
- On the basis of the information submitted with the proposal
- Composed of two steps:
  - **CBA assessment (when applicable)**
  - **Technical evaluation**
- CBA assessment – experts with specific CBA expertise
- Technical evaluation – experts with technical expertise

## Expert selection



<https://ec.europa.eu/inea/news-events/newsroom/independent-experts-needed-evaluation-proposals-cef>

## External experts

- Selected from a **database of experts** - over 100,000 evaluators.
- Main requirements:
  - **High-level of expertise** in the relevant fields.
  - **Independence** (evaluating in a personal capacity), **Impartiality** (no conflict of interest), **objectivity**.
- **Balanced composition of groups:**
  - ✓ Professional experience (e.g. academia, business), gender and geographical balance.
  - ✓ Regular rotation and involvement of new experts.
- One or more **observers** (external experts) monitor the evaluations to verify compliance with procedures.

## What do we ask them to do?

### Understand Call Text

- Read briefing material
- Follow remote briefings

### Evaluate individually

- A number of proposals
- Provide assessment against evaluation criteria

### Come to a Consensus

- Discuss with other experts
- Reach consensus on comments and scores

## Award criteria

Relevance

Maturity

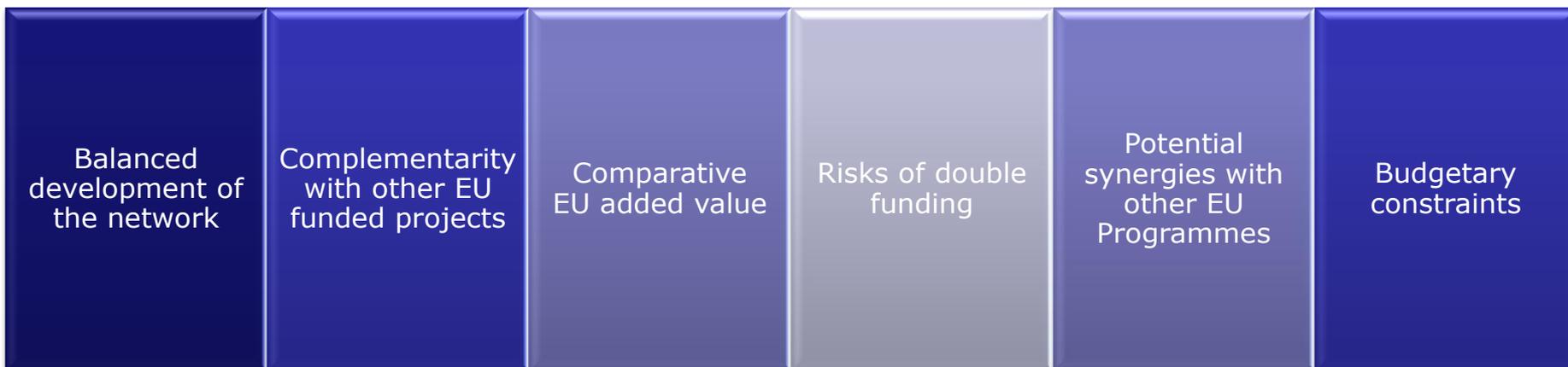
Impact\*

Quality

\* *Additional input from CBA Assessment (where applicable)*

## 4. Final selection process

### Internal evaluation (EC)



Draft list of proposals recommended for funding

## Final selection process

Preparation of Commission Selection Decision

EC Inter-Service Consultation

Consultation of CEF Coordination Committee  
Information of the European Parliament

Adoption of Commission Selection Decision

Information to Applicants  
Launch of Grant Preparation

# Practical Information MAP Call 2019

- **Send questions** at any time:
  - ✓ [INEA-CEF-Transport-Infoday@ec.europa.eu](mailto:INEA-CEF-Transport-Infoday@ec.europa.eu)
  - ✓ **Twitter to @inea\_eu #CEFTranInfo + #CEFTransport**
- **FAQs** on INEA website:
  - <https://ec.europa.eu/inea/en/connecting-europe-facility/cef-transport/apply-funding/2019-cef-transport-map-call-faq>
- **Presentations/video recording** virtual Info Day 7/11/2019
  - <https://ec.europa.eu/inea/en/news-events/events/2019-cef-transport-map-call-virtual-info-day>

# For more information



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@inea\_eu



Look for INEA!

